

2875
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PTO/SB/21 (01-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

78

Application Number	09/704,639
Filing Date	November 1, 2000 (CPA: Dec. 12, 2002)
First Named Inventor	FINN, Bruce
Art Unit	2875
Examiner Name	NEGRON, Ismael
Attorney Docket Number	D-8139

ENCLOSURES (Check all that apply)

- | | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input checked="" type="checkbox"/> Drawing(s) (Amended Fig. 6 and Formal Drawings 1-43) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input checked="" type="checkbox"/> Request to Amend | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input checked="" type="checkbox"/> Petition Inventorship | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input checked="" type="checkbox"/> Change of Correspondence Address | (1) Preliminary Amendment |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input checked="" type="checkbox"/> Terminal Disclaimer (2) | (2) Check for fees as follows. |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

Remarks

Fees (see attached Fee Determination Record)

Excess claims fee	= \$474.00 (48 extra claims, 1 independent claim)
Terminal disclaimer fees	= \$110.00 (2 disclaimer forms)
Information Disclosure Statement	= \$180.00 (incl. Citation, copy of reference)
Request to Amend Inventorship	= \$130.00 (Petition fee)

Total = \$894.00

The Patent Office is hereby authorized to charge any additional fees or credit and overpayments to Applicant's attorneys' deposit account no. 03-2030.

TECHNOLOGY CENTER 2800

MAR 17 2003

RECEIVED

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	Daniel M. Cisló, Esq.
Individual	CISLO & THOMAS LLP, 233 Wilshire Boulevard, Suite 900, Santa Monica, California 90401
Signature	Attorneys for Applicant BRUCE FINN
Date	2-22-03

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 22, 2003

Typed or printed	Daniel M. Cisló, Esq.	Date	February 22, 2003
Signature			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 894.00

Complete if Known

Application Number	09/704,639
Filing Date	November 1, 2000 (CPA: Dec. 12, 2002)
First Named Inventor	FINN, Bruce
Examiner Name	NEGRON, Ismael
Art Unit	2875
Attorney Docket No.	D-8139

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 03-2030

Deposit Account Name Cislo & Thomas LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 84 -20** = 48 x Fee from below 9.00 = 432.00
Independent Claims 4 -3** = 1.00 x 42.00 = 42.00
Multiple Dependent =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					474.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130.00
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) Terminal Disclaimers (2 total)					110.00
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					420.00

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Daniel M. Cislo, Esq.	Registration No. (Attorney/Agent)	32,973	Telephone	(310) 451-0647
Signature		Date	2/27/03		

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PATENT
D-8139

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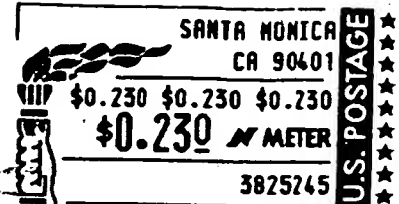
Daniel M. Cislo, Reg. No. 32,973

Date

A

Security Features Included. **Details on back.**

Address Correction and Forwarding Requested



MAR 17 2003

Cislo & Thomas LLP
233 Wilshire Boulevard, Suite 900
Santa Monica, California 90401-1211

PATENT FINN, Bruce L. D-8139
FOLDABLE LIGHT DIFFUSION BOX WITH FRAME ASSEMBLY
Serial No.: 09/704,639 Filed: November 1, 2000 (CPA: Dec 12, 2002)



25189

PATENT TRADEMARK OFFICE

RECEIVED THIS DAY BY THE U.S. PATENT AND TRADEMARK OFFICE, THE FOLLOWING:

Pages

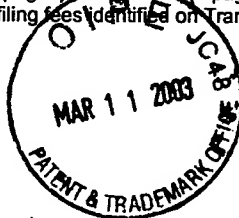
Documents Enclosed

- 1 Transmittal Form (after initial filing)
1 Fee Transmittal
64 Preliminary amendment (8 pages), with Appendix 1 (Abstract, 1 page), Appendix 1A (marked-up original abstract, 1 page), Appendix 2 (amended Figure 6, 1 page), Appendix 2A (formal drawings, Figures 1-43, 31 pages), Appendix 3 (amendments to specification, 4 pages), Appendix 3A (marked-up original specification, 4 pages), Appendix 4 (new claims, 13 pages)
1 Terminal Disclaimer to Obviate a Provisional Double Patenting Rejection Over a Pending Second Application
1 Terminal Disclaimer to Obviate a Double Patenting Rejection Over a Prior Patent
3 Request to Amend Inventorship Under 37 CFR 1.48(b)
6 Information Disclosure Statement (3 pages) with Citation (1 page) and Reference (2 pages)
1 Check in the amount of \$894.00 for filing fees identified on Transmittal Form
1 This acknowledgement postcard

VIA UNITED STATES MAIL
February 27, 2003
DMC/KWC:ce

March 27, 2003

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